

**CHADBOURNE
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facsimile transmission

To:

Name/Company

Facsimile No.

Verification Phone No.

Javaid Nasri/US PTO
Examiner Javaid Nasri/US PTO(571)
(571) 273-8300(571) 272-2095
(571) 272-2095

From: Richard Martinelli

Date: October 6, 2005

Phone: (212) 408-1173

Room No.: 3335

No. of pages: 31 (Including this page)

Message:

RE: Application Serial No. 09/720,235

Examiner Nasri,

I enclose herewith copies of the filings in the above referenced case from the Final Rejection in 2003 through the improper PTO abandonment and the application revival to today. I look forward to discussing the issues with you.

Thank you,

Rich Martinelli

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This facsimile is intended only for the use of the individual or entity to which it is addressed and may contain information that is privileged, confidential, and exempt from disclosure under applicable law. If the reader of this facsimile is not the intended recipient or the employee or agent responsible for delivering the facsimile to the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original facsimile to us at the above address via the U.S. Postal Service. Thank you.

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NY Fax XP

DocketNo. 14998-255 RM
Serial no. 05/720,335 Date: JULY 28, 2005

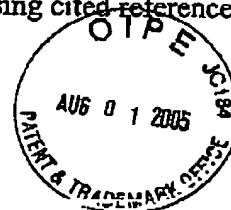
1. Information Disclosure Statement
2. Form PTOL-1449 (enclosing cited references)
3. Return post card
4. Certificate of Mailing

DocketNo. 14998-255 RM
Serial no. 05/720,335 Date: JULY 28, 2005

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1. Information Disclosure Statement
2. Form PTOL-1449 (enclosing cited references)
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BEST AVAILABLE COPY

Docket No. 14998-255

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Nishimura et al.

Serial No.: 09/720,335

Group Art Unit: 2839

Filed: December 20, 2000

Examiner: Javaid H. Nasri

For: Optical Ferrule And Molding Method Therefor, And Optical Connector
Using This Optical Ferrule**CERTIFICATE OF MAILING (37 C.F.R. §1.8(A))**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

1. Supplemental Information Disclosure Statement
2. Form PTOL-1449 (enclosing cited references)
3. Return post card

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,
Chadbourne & Parke, L.L.P.Dated: July 28 2005By: Richard Martinelli

Registration No. 52,003

Chadbourne & Parke, L.L.P.
30 Rockefeller Plaza
New York, NY 10112
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NY3 - 390263.01

Attorney Docket No. 14998-255

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Nishimura et al.

Serial No.: 09/720,335

Group Art Unit: 2839

Filed: December 20, 2000

Examiner: Javaid H. Nasri

For: Optical Ferrule And Molding Method Therefor, And Optical Connector
Using This Optical Ferrule

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:
2. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.
3. ☐ Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No. _____, filed _____.
4. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
 - ☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or

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Attorney Docket No. 14998-255

- ☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or
- ☐ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or
- ☐ 37 C.F.R. §1.97(b)(4) before the mailing date of a first office action after the filing of an RCE under §1.114.
5. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):
- ☐ A check in the amount of \$180.00 is enclosed in payment of the fee.
- ☐ Charge the fee to Deposit Account No. 03-1240, Order No. _____. A
DUPLICATE COPY OF THIS SHEET IS ATTACHED.
7. ☒ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
- b. the fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 below.
8. ☐ This Information Disclosure Statement is being filed in compliance with:
- a. ☐ 37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);
- b. ☐ 37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).

Attorney Docket No. 14998-255

c. ☐ The fee due under 37 C.F.R. §§1.17(h) is paid as set forth in paragraph 11 below.

9. x I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

☐ I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

10. X This document is accompanied by X a Search Report ☐ Communication which was cited in a corresponding ☐ PCT or X Foreign counterpart application

11. ☐ A check in the amount of \$ _____ is enclosed in payment of the fees due under 37 C.F.R. §§1.17(h) and 1.17(p).

X Charge the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account No.03-1240, Order No. 14998-255. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 03-1240, Order No.. 14998-255.

Respectfully submitted,
CHADBOURNE & PARKE, L.L.P.

Dated: July 28, 2005

By: 

Richard Martinelli
Registration No. 52,003

CHADBOURNE & PARKE, L.L.P.
30 Rockefeller Plaza
New York, NY 10112
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Attorney Docket No. 14998-255

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Nishimura et al.

Serial No.: 09/720,335

Group Art Unit: 2839

Filed: December 20, 2000

Examiner: Javaid H. Nasri

For: Optical Ferrule And Molding Method Therefor, And Optical Connector
Using This Optical Ferrule

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

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Attorney Docket No. 14998-255

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☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 03-1240, Order No. 14998-255.

Respectfully submitted,
CHADBOURNE & PARKE, L.L.P.

Dated: July 28, 2005

By: 

Richard Martinelli
Registration No. 52,003

CHADBOURNE & PARKE, L.L.P.
30 Rockefeller Plaza
New York, NY 10112
212-408-5100 Telephone
212-541-5369 Facsimile

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
14998-255

In Re Application Of: Nishimura, et. al.

Serial No.
09/720,335

Filing Date
12/20/2000

Examiner
Javaid H. Nasri

Group Art Unit
2839

Invention: " OPTICAL FERRULE AND MOLDING METHOD THEREFOR, AND OPTICAL
CONNECTOR USING THIS OPTICAL FERRULE"

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

D. Basov
Signature

Dated: March 29, 2005

Daniel Basov, Reg. No. 42,303
CHADBOURNE & PARKE LLP
30 Rockefeller Plaza
New York, New York 10112
1-212-408-5275

I certify that this document is being deposited on
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Assistant Commissioner for Patents, Washington, D.C.
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Tammy Parubchenko
Signature of Person Mailing Correspondence

Tammy Parubchenko

Typed or Printed Name of Person Mailing Correspondence

CC:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Akito Nishimura, Toru Arikawa, Yasuhiro Tamaki

Docket No.

14998-255

Serial No.

09/720,235

Filing Date

December 20, 2000

Examiner

Javaid H. Nasri

Group Art Unit

2839

Invention: "OPTICAL FERRULE AND MOLDING METHOD THEREFOR, AND OPTICAL CONNECTOR
USING THIS OPTICAL FERRULE"

Transmittal Sheet/IDS Statement under CFR 1.97(e)
PTO-1449 form/ five[5] references/ EP Search Report
Certificate of First Class Mail/Confirmatory postcard

I hereby certify that this

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is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

March 29, 2005

(Date)

Tammy Parubchenko

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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INFORMATION DISCLOSURE CITATION
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[illegible]

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
1.	JP 02 016021	01/19/1990	JAPAN				
2.	JP 56 074212	06/19/1981	JAPAN				
3.	JP 57 067906	04/24/1982	JAPAN				
4.	JP 61 137109	06/24/1986	JAPAN				

6.	Satake, et. al., " US CONEC SPREADS MT CONNECTOR INTO NORTH AMERICAN MARKETS" . NTT REVIEW, TELECOMMUNICATIONS ASSOCIATION, TOKYO JAPAN. Vol. 5, No. 4, July 1, 1993, Pages 82-89.
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AMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and considered. Include copy of this form with next communication to applicant.